


011404

01976 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	UND003 CON		
		First Inventor	Gregory H. MOULTON		
		Title	HASH FILE SYSTEM AND METHOD FOR USE IN A COMMONALITY FACTORING SYSTEM		
		Express Mail Label No.	EL533429374US		
APPLICATION ELEMENTS		Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)		6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)			
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)			
3. <input checked="" type="checkbox"/> Specification [ total pages <u>43</u> ] (preferred Arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies			
4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <u>10</u> ]		<b>ACCOMPANYING APPLICATION PARTS</b>			
5. <input checked="" type="checkbox"/> Oath or Declaration [ total pages <u>3</u> ] a. <input type="checkbox"/> Newly unexecuted b. <input checked="" type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		9. <input type="checkbox"/> Assignment Papers (coversheet/document(s)) 10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11. <input type="checkbox"/> English Translation Document 12. <input type="checkbox"/> IDS & Form PTO/SB/08A <input type="checkbox"/> Copies of IDS Citations 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) 16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 17. <input checked="" type="checkbox"/> Other: Certificate of Mailing, Check for \$608.00			
18. If a <b>CONTINUING APPLICATION</b> , check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09/777,150</u> Prior application information: Examiner: <u>Jean M. CORRIELUS</u> Group/Art Unit: <u>2172</u> FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.					
<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number: <b>25235</b> or <input type="checkbox"/> Correspondence address below					
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
Name (Print/Type)	Eugene J. Bernard		Registration No.	42,320	
(Signature)			Date	January 14, 2004	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.		Confirmation No.
Inventor:	Gregory H. MOULTON Stephen B. WHITEHILL	Title: HASH FILE SYSTEM AND METHOD FOR USE IN A COMMONALITY FACTORING SYSTEM
Filed:	January 14, 2004	
TC/A.U.		
Examiner:		
Docket No.	UND003 CON	
Customer No.	25235	

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

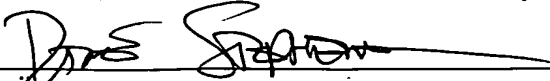
Sir:

The undersigned hereby certifies that the following documents:


1. Utility Patent Application Transmittal;
2. Specification (34 pages); Claims (8 pages);  
Abstract (1 page) and Drawings (10 sheets);
3. Fee Transmittal;
4. Check for \$608.00;
5. Preliminary Amendment;
6. Copy of Declaration (signed);
7. Return postcard, and

this Certificate of Mailing relating to the above application, were deposited as  
"Express Mail," Mailing Label No. EL533429374US with the U.S. Postal  
Service, addressed to: Mail Stop Patent Application, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 14, 2004.

January 14, 2004

  
\_\_\_\_\_  
Mailer

January 14, 2004

  
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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 608

## Complete if Known

Application Number	
Filing Date	JANUARY 14, 2004
First Named Inventor	Gregory H. MOULTON
Examiner Name	
Art Unit	
Attorney Docket No.	UND003 CON

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number

50-1123

Deposit  
Account  
Name

Hogan &amp; Harrison

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 385)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	40	-20 **	20	180
Independent Claims	4	-3 **	1	43
Multiple Dependent				0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 223)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

## SUBMITTED BY

Name (Print/Type)

Eugene J. Bernard

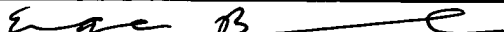
Registration No.  
(Attorney/Agent)

42,320

Telephone

303.454.2457

Signature



Date

January 14, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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